

Our Reference: SPM-365-A

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: Stefan Braun and Hermann Mai
Serial Number: Unknown
Filing Date: Concurrent
Examiner/Art Group Unit: Unknown/Unknown
Title: DEVICE AND METHOD FOR THE
FORMATION OF GRADIENT LAYERS ON
SUBSTRATES IN A VACUUM CHAMBER

INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents
P. O. Box 1450
Alexandria, VA 22313-1450

Sir:

The references listed in the attached form PTO-1449 are cited pursuant to Rule 37 C.F.R. 1.56 to meet the duty to disclose to the Patent Office all information known to the inventor, attorney or any other person who is substantively involved in the preparation or prosecution of the application or who is associated with the inventor, with the assignee or with anyone to whom there is an obligation to assign the application.

The information may include:

- (1) Prior art cited in search reports of a foreign patent office in a counterpart application, and
- (2) The closest information over which individuals associated with the filing or prosecution of the patent application believe any pending claim patentably defines.

Pursuant to 37 C.F.R. § 1.98 this Information Disclosure Statement includes:

- (1) A list of all patents, publications or other information submitted for consideration by the Office.

If a written English-language translation of a non-English language document, or portion thereof, is within the possession, custody or control of, or is readily available to any individual designated in § 1.56(c), a copy of the translation accompanies this Statement.

These references constitute all the information of which the individuals pursuant to 37 C.F.R. § 1.56(c) are currently aware.

A copy of each cited reference is enclosed, if required.

Respectfully submitted,

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DPC/dge

FORM PTO-1449 LIST OF REFERENCES CITED BY APPLICANT				ATTY. DOCKET NO. SPM-365-A		SERIAL NO. Unknown	
				APPLICANT Stefan Braun and Hermann Mai			
				FILING DATE Concurrent		GROUP Unknown	
U. S. PATENT DOCUMENT							
EXAMINER INITIALS		PATENT NO.	ISSUE DATE	PATENTEE	CLASS	SUBCLASS	FILING DATE
	AA	5,993,904	Nov. 30, 1999	Boucher	427	248.1	July 14, 1997
	AB						
	AC						
	AD						
	AE						
	AF						
	AC						
	AH						
	AI						
	AJ						
	AK						
FOREIGN PATENT OR PUBLISHED PATENT APPLICATION							
		DOCUMENT NO.	PUBLICATION DATE	COUNTRY OR PATENT OFFICE	CLASS	SUBCLASS	TRANSLATION
	AL	60181264A	Sept. 14, 1985	Japan			
	AM	DE 195 18 185 A1	Nov. 18, 1996	German			
	AN	DE 100- 62 713 C1		German			
	AO	FR2619226	Feb. 10, 1989	France			
	AP						
OTHER REFERENCES (including Author, Title, Date, Pertinent Pages, etc.)							
	AR						
	AS						
	AT						
EXAMINER				DATE CONSIDERED			
EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not considered. Include a copy of this form with next communication to applicant.							